

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Harm M. Deckers et al.

Title:

THIOREDOXIN AND THIOREDOXIN REDUCTASE CONTAINING OIL BODY

BASED PRODUCTS

Appl. No.:

09/897,898

Filing Date:

07/05/2001

Examiner:

Yong D. Pak

Art Unit:

1652

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop PATENT APPLICATION Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of a document known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

Applicants also submit herewith the listing of documents cited by or submitted to the United States Patent and Trademark Office in application Serial No. 09/448,755, filed November 24, 1999. As provided in 37 CFR §1.98(d), a copy of the documents are not being provided since it was previously submitted to the United States Patent & Trademark Office in the above-identified application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

02/09/2004 SSESHE1 00000084 09897898

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TIMING OF THE DISCLOSURE

The listed document is being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

RELEVANCE OF EACH DOCUMENT

All of the documents listed in the Forms PTO/SB/08 submitted herewith are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

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February

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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	Substitute for forr	m 1449B/	PTO	Complete if Known			
	INFORMATION [DISCLO	SURE	Application Number	09/897,898	012	
	STATEMENT BY	APPLI	CANT	Filing Dat	07/05/2001		7
	Date Submitted: February 6, 2004			First Named Inventor	Harm M. Deckers	I FER	7
	Date Submitted: Fe	<u>ebruary</u>	<u>6, 2004</u>	Group Art Unit	1652	3 62	न्त्र
	(use as many sheet	ts as ne	cessary)	Examiner Name	Yong D. Pak	2004	9
Sheet	1	of	1	Attorney Docket Number	034547-0104	THE STATE OF THE S	4
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U.S. PATENT DOCUMENTS								
Examiner Initials*	<u></u>	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant		
	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear		

		F	OREIGN PATENT DOCUMEN	тѕ		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	A1	WO 00/30602	Maurice Moloney	06/02/2000		
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,		NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹							
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Examiner Signature	Date Considered	

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¹ Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 

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Substitute for form 1449B/PTO Complete if Known **Application Number** 09/897,898 INFORMATION DISCLOSURE July 5, 2001 STATEMENT BY APPLICANT Filing Dat First Nam d Inventor Harm M. DECKERS et at Date Submitted: February 6, 2004 Group Art Unit 1652 (use as many sheets as necessary) **Examiner Name** Yong D. Pak of Attorney Docket Number 034547-0104 Sheet 1

U.S. PATENT DOCUMENTS									
Cite No.1	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant				
	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear				
A1	5,683,740		Voultoury et al.	11/97					
A2	5,643,583		Voultoury et al.	07/97					
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	No. ¹	Cite No. 1 Number A1 5,683,740	Cite No. 1 Number Code ² (if known)  A1 5,683,740	Cite No.1 Number Kind Code ² (if known)  A1 5,683,740 Voultoury et al.	Cite No.1 Number Kind Code ² (if known) Name of Patentee or Applicant of Cited Document MM-DD-YYYY  A1 5,683,740 Voultoury et al. 11/97				

		F	OREIGN PATENT DOCUMEN	TS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Office ³ Number ⁴ Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	А3	0 475 160		08/1991		
	A4	WO 96/41543	The Board of Regents of the University of Nebraska	12/1996		
	A5	WO 98/27115	Sembiosys Genetics Inc.	06/1998		
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ₆
	A6	MILLICHIP, M., <i>ET AL.</i> , "Purification and characterization of oil-bodies (oleosomes) and oil-body boundary proteins (oleosins) from the developing cotyledons of sunflower ( <i>Helianthus annuus</i> L.)", 1996, Biochemistry Journal, 314, pages 333-337.	
	A7	MURPHY, D.J. AND CUMMINS I., "Seed Oil-Bodies: Isolation, Composition and Role of Oil-Body Apolipoproteins", 1989, Phytochemistry, Vol. 28, No. 8, pages 2063-2069.	
	A8	MURPHY, D.J., "Structure and function of oleosins in oil plants", 1993, INFORM, Vol. 4, No. 8, pages 922-932.	
	A9	CHEN ET. AL., "Enhancement of Skin Penetration", Novel Cosmetic Delivery, Marcel Dekker, Inc. New York pp. 51-69	
	A10	HUANG, "Oil Bodies and Oleosins in Seeds", Annu. Rev. Plant Mol. Biol., 1992, 43:177-200	
	A11	T. J. JAKES ET AL., "Isolation and physicochemical characterization of the half-unit membranes of oilseed lipid Bodies", J. of the Amer. Oil Soc. Vol. 67(6):353-361, 1990	
	A12	S. NACHT, "Encapsulation and Other topical delivery systems" Cosmetics & Toiletries Magz. Vol. 110:25-30, September 1995	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	INFORMATION DIS	SCLO	SURE	Application Numb r	09/897,898	K 6
	STATEMENT BY A	<b>APPLI</b>	CANT	Filing Dat	July 5, 2001	FED
	Data Cuberittadi Fab		6 2004	First Named Inventor	Harm M. DECKERS et a	
	Date Submitted: Feb	ruary	<u>6, 2004</u>	Group Art Unit	1652	A Alle
	(use as many sheets	as ne	cessary)	Examiner Name	Yong D. Pak	Zd.
Sheet	2	of	2	Attorney Docket Number	034547-0104	WOEMA OK OFFI

	NON PATENT LITERATURE DOCUMENTS								
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.							
	A13	ROMANOWSKI ET AL., "Stability testing of cosmetic products"., Marcek Dekker, Inc. New York pp 115-130							

Examiner Signature		Date Con	e isidered	

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